Date

Reference: LOW or LILO Date

Name

Title

City, State, Zip Code

The above discipline was discussed in accordance with 652 of the ELM.

As a final and complete settlement of the (list discipline) without prejudice to the positions of the parties in this or any other case, and with the understanding that either party shall not cite this settlement in any other proceeding, or in any other forum, the following resolution has been entered into by the parties.

The LOW or LILO dated \_\_\_\_\_\_\_\_\_\_, issued to Name\_\_\_\_\_\_\_, Title\_\_\_\_\_\_\_\_\_\_, City\_\_\_\_\_\_State\_\_\_\_\_\_\_\_\_ will be rescinded and purged from all files date or XXX from date of issue.

The undersigned mutually agree based on the above, to consider this issue formally closed, effective with the signing of this agreement.